

ALGEBRAIC COMPACTNESS OF  $\prod M_\alpha / \bigoplus M_\alpha$

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**Abstract:** In this note, we are working within the category  $R\mathbf{Mod}$  of (unitary, left)  $R$ -modules, where  $R$  is a **countable** ring. It is well known (see e.g. Kiełpiński and Simson [5], Theorem 2.2) that the latter condition implies that the (left) pure global dimension of  $R$  is at most 1. Given an infinite index set  $A$ , and a family  $M_\alpha \in R\mathbf{Mod}$ ,  $\alpha \in A$  we are concerned with the conditions as to when the  $R$ -module

$$\prod / \prod = \prod_{\alpha \in A} M_\alpha / \bigoplus_{\alpha \in A} M_\alpha$$

is or is not algebraically compact. There are a number of special results regarding this question and this note is meant to be an addition to and a generalization of the set of these results. Whether the module in the title is algebraically compact or not depends on the numbers of algebraically compact and non-compact modules among the components  $M_\alpha$ .

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Given an (infinite) cardinal  $\kappa$ , an  $R$ -module  $M$  is  $\kappa$ -compact, if, every system of  $\leq \kappa$  linear equations over  $M$  (with unknowns  $x_j$  and almost all  $r_{ij} = 0$ ):

$$\sum_{j \in J} r_{ij} x_j = m_i \in M, \quad i \in I, \quad r_{ij} \in R, \quad |I|, |J| \leq \kappa, \quad (1)$$

has a solution in  $M$  whenever all its finite subsystems have solutions (in  $M$ ). A module is (*algebraically*) compact if it is  $\kappa$ -compact, for every cardinal  $\kappa$ . It is well-known that if  $M \in R\mathbf{Mod}$  is  $\kappa$ -compact, for some  $\kappa \geq |R|$ , then  $M$  is algebraically compact. Algebraic compactness of  $M$  is equivalent to pure injectivity and this in turn is equivalent to  $\text{Pext}_R^1(X, M) = 0$ , for every  $X \in R\mathbf{Mod}$ .

Recall that  $\prod / \prod$  is a special case of a more general construction of the reduced product  $\prod M_\alpha / \mathcal{F}$ , where  $\mathcal{F}$  is the cofinite filter on  $A$ . Given a subset  $B \subseteq A$ , then  $\mathcal{F} \cap B$  and  $\mathcal{F} \cap (A \setminus B)$  are cofinite filters on  $B$  and on  $A \setminus B$  respectively, if  $\mathcal{F}$  is the cofinite filter on  $A$ . One can now easily prove the following isomorphism (alternatively use Theorem 1.10 in [2]):

$$\prod_{\alpha \in A} M_\alpha / \bigoplus_{\alpha \in A} M_\alpha \cong \prod_{\alpha \in B} M_\alpha / \bigoplus_{\alpha \in B} M_\alpha \times \prod_{\alpha \in A \setminus B} M_\alpha / \bigoplus_{\alpha \in A \setminus B} M_\alpha. \quad (2)$$

The proof of the following result is straightforward, since it uses a powerful classical result of Mycielski.

**Proposition 1.** *For every countable index set  $B$ ,*

$$\prod / \prod = \prod_{\alpha \in B} M_\alpha / \bigoplus_{\alpha \in B} M_\alpha$$

*is an algebraically compact  $R$ -module.*

*Proof.* Since  $B$  is countable, there is a countable family of cofinite subsets of  $B$  with empty intersection. By a classical result of Mycielski [6], Theorem 1),  $\prod / \prod$  is  $\aleph_0$ -compact. This is equivalent to its algebraic compactness, since the rings we consider here are countable.  $\square$

Note that this result need not hold true, if  $R$  is uncountable. For instance, if  $K$  is a field and  $R = K[[X, Y]]$  is the two-variable power series algebra, then  $R^{\mathbb{N}} / R^{(\mathbb{N})}$  is not algebraically compact (see [4], Theorem 8.42).

**Lemma 2.** *Assume that pure global dimension of  $R$  is  $\leq 1$ . If  $\mathbf{E} : 0 \longrightarrow A \xrightarrow{*} B \longrightarrow C \longrightarrow 0$  is a pure exact sequence and  $B$  is pure injective, then  $C$  is likewise pure injective (algebraically compact).*

*Proof.* Given an arbitrary  $X \in R\mathbf{Mod}$ , the segment of the  $\text{Pext}_R^1(X, \mathbf{E})$  exact sequence we are interested in is as follows:  $\dots \rightarrow \text{Pext}_R^1(X, B) \rightarrow \text{Pext}_R^1(X, C) \rightarrow \text{Pext}_R^2(X, A) \rightarrow \dots$ . Since  $\text{puregld } R \leq 1$  we have  $\text{Pext}_R^2(X, A) = 0$ . Since  $B$  is pure injective, we have  $\text{Pext}_R^1(X, B) = 0$ . These facts now force  $\text{Pext}_R^1(X, C) = 0$ , i.e.  $C$  is pure injective.  $\square$

**Proposition 3.** *Let  $\text{puregld } R \leq 1$  and let  $A$  be an arbitrary (infinite) index set; if every  $M_\alpha$ ,  $\alpha \in A$  is algebraically compact, then  $\prod_{\alpha \in A} M_\alpha / \bigoplus_{\alpha \in A} M_\alpha$  is algebraically compact.*

*Proof.* It is well known that  $\prod = \bigoplus_{\alpha \in A} M_\alpha$  is a pure submodule of  $\prod = \prod_{\alpha \in A} M_\alpha$  and that  $\prod$  is algebraically compact iff all the components  $M_\alpha$  are algebraically compact. Appeal to Lemma 2 completes the proof.  $\square$

**Theorem 4.** *Given any index set  $A$ , let  $B \subseteq A$  be (at most) a countable set and  $\forall \alpha \in B$ ,  $M_\alpha$  is not algebraically compact, while  $\forall \alpha \in A \setminus B$ ,  $M_\alpha$  is algebraically compact. Then*

$$\prod / \prod = \prod_{\alpha \in A} M_\alpha / \bigoplus_{\alpha \in A} M_\alpha$$

*is algebraically compact.*

*Proof.* By Proposition 1, the  $R$ -module  $\prod_{\alpha \in B} M_\alpha / \bigoplus_{\alpha \in B} M_\alpha$  is algebraically compact. By Proposition 3,  $\prod_{\alpha \in A \setminus B} M_\alpha / \bigoplus_{\alpha \in A \setminus B} M_\alpha$  is likewise algebraically compact. Now use isomorphism (2) to conclude that  $\prod / \prod$  is algebraically compact.  $\square$

Our main concern is the converse of Theorem 4: If  $\prod / \prod$  is algebraically compact, can we conclude that at most countably many  $M_\alpha$ 's are not algebraically compact?

Every linear system (1) has a short-hand representation  $\mu \cdot \mathbf{x} = \mathbf{m}$ , where  $\mu = (r_{ij})_{i \in I, j \in J}$  is the corresponding row-finite matrix (call it the system matrix) and  $\mathbf{x} = (x_j)_{j \in J}$ ,  $\mathbf{m} = (m_i)_{i \in I}$  are the corresponding column vectors. The rows of matrix  $\mu$  (which are the left hand sides of equations (1)) may be viewed as elements of the free  $R$ -module  $\bigoplus_{j \in J} Rx_j$ . The cardinality of these  $R$ -modules is  $|R|2^{|J|}$ . Thus the cardinality of the set of different matrices  $\mu$  representing (left-hand-sides) of (1) is at most  $(|R|2^{|J|})^{|I|} = |R|^{|I|}2^{|J||I|}$ . For purposes of algebraic compactness, it suffices to consider only  $|I| = |J| = \max(|R|, \aleph_0)$ , thus the latter cardinality is at most  $\max(2^{|R|}, 2^{\aleph_0})$ ; for countable rings this bound is  $2^{\aleph_0}$ . This is an important fact that we use in the proof of the next result.

**Proposition 5.** *Let  $|A| > \max(2^{|R|}, 2^{\aleph_0})$  and  $\forall \alpha \in A$ ,  $M_\alpha$  is not algebraically compact. Then  $\prod / \prod$  is not algebraically compact.*

*Proof.* For every  $M_\alpha$ ,  $\alpha \in A$ , there is a system of equations of type (1)

$$S_\alpha : \sum_{j \in J} r_{ij}^\alpha x_j^\alpha = m_i^\alpha \in M_\alpha, \quad i \in I, \quad r_{ij} \in R, \quad |I| = |J| = \max(|R|, \aleph_0), \quad (3)$$

with the corresponding row finite system matrices  $\mu_\alpha = (r_{ij}^\alpha)_{i \in I, j \in J}$  and the property that every finite subsystem is solvable, without the whole system being solvable. By the observation on the number of different system matrices  $\mu_\alpha$ , the number of different left hand sides of systems  $S_\alpha$  is  $\max(2^{|R|}, 2^{\aleph_0})$ . By the assumption on the cardinality of  $A$ , we conclude that there are  $|A|$  many systems  $S_\alpha$  with identical left hand sides. Without loss of generality we assume this is correct for all  $\alpha \in A$ , thus we consider systems (3) where the coefficients  $r_{ij}^\alpha = r_{ij}$  do not vary by coordinates  $\alpha \in A$ . This coefficient uniformity enables a passage to the induced system in  $\prod M_\alpha / \oplus M_\alpha$ :

$$S : \sum_{j \in J} r_{ij} \overline{(x_j^\alpha)_{\alpha \in A}} = \overline{(m_i^\alpha)_{\alpha \in A}}, \quad i \in I \quad (4)$$

(bars denote the classes mod  $\oplus_{\alpha \in A} M_\alpha$ ). Every finite subsystem of  $S$  is equivalent to the set of coordinate finite subsystems of  $S_\alpha$ , for all but finitely many  $\alpha \in A$ . These have solutions, which will be the coordinates of the solutions of the original finite subsystem of  $S$ . But  $S$  has no global solution, for if  $x_j = \overline{(s_j^\alpha)_{\alpha \in A}}, j \in J$  were global solutions of  $S$ , then  $x_j^\alpha = s_j^\alpha, j \in J$  would provide global solutions of  $S_\alpha$ , for almost all  $\alpha \in A$ . This contradiction then completes the proof that  $\prod M_\alpha / \oplus M_\alpha$  is not algebraically compact.  $\square$

As we have not succeeded in extending the latter result to all infinite  $|A|$ , we formulate the following

**Conjecture.** *If  $|A|$  is an uncountable index set of cardinality  $\leq 2^{|R|}$  and all  $M_\alpha \in R\mathbf{Mod}$ ,  $\alpha \in A$ , are not algebraically compact, then  $\prod / \prod$  is not algebraically compact. If this is true then, for countable rings  $R$ ,  $\prod / \prod$  is algebraically compact if and only if all but countably many  $M_\alpha \in R\mathbf{Mod}$ ,  $\alpha \in A$  are algebraically compact.*

**Remarks.** There are strong indications the conjecture is correct: Gerstner [3] proved that  $\mathbb{Z}^A / \mathbb{Z}^{(A)}$  is algebraically compact, iff  $A$  is countable. A generalization follows for reduced powers of modules over countable rings: If  $M \in R\mathbf{Mod}$  is not algebraically compact, then use Lemma 1.2 in [1] to conclude that if  $M^A / M^{(A)}$  is algebraically compact then  $A$  must be countable. For Abelian groups, Rychkov [7] proved that  $\prod / \prod$  is algebraically compact if and only if  $A$  is countable. In fact, if  $\mathcal{S}$  denotes a set of system matrices with the property that for every  $M \in R\mathbf{Mod}$  that is not algebraically compact, there is

a  $\mu \in \mathcal{S}$  that is a system matrix for a system proving algebraic non-compactness of  $M$ , let  $\mathfrak{n}$  denote minimal cardinality of all such systems. Close inspection of the proof of Proposition 1, *ibid.* seems to reveal that the RD-purity used there is not essential, namely that it may be replaced by purity (a condition always satisfied for Prüfer domains). In that case, if  $|A| > \max(\mathfrak{n}, \aleph_0)$  and all  $M_\alpha$ ,  $\alpha \in A$  are non-compact implies that  $\prod / \bigoplus$  is non-compact.

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