

COHERENT SYSTEMS ON REAL  
LOW GENUS CURVES

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**Abstract:** Here we study the stability of coherent systems defined over  $\mathbb{R}$  on genus 0 and genus 1 smooth real projective curves.

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1. The Main Result

Let  $K$  be a non-algebraically closed field and  $\mathbb{K}$  an algebraic closure of  $K$ . For any algebraic  $K$ -scheme  $Y$  and any field  $L$  such that  $K \subseteq L \subseteq \mathbb{K}$  set  $Y_L := Y \times_{\text{Spec}(K)} \text{Spec}(L)$ . Let  $Y(L)$  or  $Y_L(L)$  denote the set of all equivalence classes of  $L$ -morphism  $\text{Spec}(L) \rightarrow Y$  with  $L$  as their residue field. For any vector bundle  $E$  on  $Y$ , i.e. for any locally free coherent algebraic sheaf  $E$  on  $Y$ , let  $E_L$  (or just  $E$ ) the induced locally free sheaf on  $Y$ . If  $Y$  is complete, then  $\dim_K(H^0(Y, E)) = \dim_L(H^0(Y_L, E_L))$ , because the morphism  $\text{Spec}(L) \rightarrow \text{Spec}(K)$  is flat ([7], Proposition III.9.3). Let  $X$  be a smooth and geometrically connected projective curve defined over  $K$ . A coherent pair on  $X$  over  $K$  is a pair  $(E, V)$  such that  $E$  is a vector bundle on  $X$  defined over  $K$  and  $V \subseteq H^0(X, E)$  is a linear subspace. The pair  $(E, V)$  is of type  $(n, d, k)$  if  $\text{rank}(E) = n$ ,  $\text{deg}(E) = d$  and  $\dim(V) = k$ . Hence  $(E, V)$  is uniquely determined by  $E$  and by a map of  $\mathcal{O}_X$ -sheaves  $\mathcal{O}_X^{\oplus k} \rightarrow E$  which induces an injection of

global sections. Fix  $\alpha \in \mathbb{R}$ . Let  $\mu(E) := d/n$  denote the slope of  $E$ . Set  $\mu_\alpha(E, V) := \mu(E) + \alpha k/n$ . The real number  $\mu_\alpha$  is called the  $\alpha$ -slope of the pair  $(E, V)$ . A subcoherent system  $(F, W) \subseteq (E, V)$  is a coherent system such that  $F \subseteq E$  and  $W \subseteq V \cap H^0(X, F)$ . The pair  $(E, V)$  is said to be  $\alpha$ -stable (resp.  $\alpha$ -semistable) if  $\mu_\alpha(F, W) < \mu_\alpha(E, V)$  (resp.  $\mu_\alpha(F, W) \leq \mu_\alpha(E, V)$ ) for all subcoherent systems  $(F, W)$  of  $(E, V)$ . Let  $G(X; \alpha : n, d, k)_K$  denote the moduli scheme of all  $\alpha$ -stable (resp.  $\alpha$ -semistable) coherent systems of type  $(n, d, k)$  on  $X$ . For the general theory of coherent systems and several results on the moduli schemes  $G(X; \alpha : n, d, k)_\mathbb{K}$ , see [12], [8], [4], [9], [10] and [5] (at least in characteristic zero).

**Remark 1.** Fix  $\alpha \in \mathbb{R}$ . Let  $(E, V)$  a coherent system on  $X$  defined over  $K$ . As in the vector bundle case done in [12], Proposition 3 and Proposition 4, it is easy to check that  $(E, V)$  is  $\alpha$ -stable over  $K$  if and only if the induced coherent system  $(E_\mathbb{K}, V_\mathbb{K})$  over  $\mathbb{K}$  is  $\alpha$ -stable over  $\mathbb{K}$ . Obviously, if  $(E_\mathbb{K}, V_\mathbb{K})$  is  $\alpha$ -stable over  $\mathbb{K}$ , then  $(E, V)$  is  $\alpha$ -stable over  $K$ . If  $(E, V)$  is  $\alpha$ -stable over  $K$ , then  $(E_\mathbb{K}, V_\mathbb{K})$  is  $\alpha$ -polystable over  $\mathbb{K}$  and the indecomposable factors of  $(E_\mathbb{K}, V_\mathbb{K})$  are permuted transitively by the absolute Galois group of  $K$ . Hence all these indecomposable factors are defined and  $\alpha$ -stable over a finite extension of  $K$ .

**Remark 2.** Let  $(E, V)$  be a coherent system on  $X$  defined over  $K$ . As in the case  $K = \mathbb{K}$  and  $\text{char}(\mathbb{K}) = 0$  done in [3], Lemma 3.14, we easily see that the set of all  $\alpha \in \mathbb{R}$  such that  $(E, V)$  is  $\alpha$ -semistable (resp.  $\alpha$ -stable) over  $K$  is connected (resp. connected and open).

In this note we will study the case  $K = \mathbb{R}$  and  $X$  of genus 0 or 1.

**Remark 3.** Let  $X$  be a real projective curve and  $E$  a real vector bundle on  $X$ . Fix  $\alpha \in \mathbb{R}$ . Since  $H^0(X(\mathbb{C}), E_\mathbb{C}) = H^0(X, E) \otimes_\mathbb{R} \mathbb{C}$ , for any integer  $k$  such that  $0 \leq k \leq h^0(X, E)$  the set of all complexifications of all  $k$ -dimensional real linear subspaces of  $H^0(X, E)$  is Zariski dense in the Grassmannian of all  $k$ -dimensional complex linear subspaces of  $H^0(X, E) \otimes_\mathbb{R} \mathbb{C}$ . By the openness of  $\alpha$ -stability we get that there is a  $k$ -dimensional complex linear subspace  $W$  of  $H^0(X, E) \otimes_\mathbb{R} \mathbb{C}$  such that  $(E_\mathbb{C}, W)$  is  $\alpha$ -stable if and only if there is a  $k$ -dimensional real linear subspace  $V$  of  $H^0(X, E)$  such that  $(E, V)$  is stable as a complex vector bundles and the latter condition implies that  $(E, V)$  is stable over  $\mathbb{R}$ . When  $X = \mathbf{P}_\mathbb{R}^1$ , then all vector bundles on  $X_\mathbb{C}$  are defined over  $\mathbb{R}$  (see e.g. [1], Proposition 3.1). Hence in this case we get the following meta-statement: Every existence or non-existence result for coherent systems on  $\mathbf{P}_\mathbb{C}^1$  is equivalent to the corresponding statement for  $\mathbf{P}_\mathbb{R}^1$ .

Here we consider the case  $K = \mathbb{R}$ . We recall that  $\omega_X$  is always a real line bundle and that its class is the double of an element of  $\text{Pic}(X)(\mathbb{R})$  ([6], Corollary 4.3), but that if  $X(\mathbb{R}) = \emptyset$ , it is not assured the existence of a real line bundle  $L$  such that  $L^{\oplus 2} \cong \omega_X$ .  $\mathbb{P}^1$  has two real structures: the usual one with  $\mathbb{R}\mathbb{P}^1 \cong S^1$  as its real locus and the one,  $N$ , with no real point ([6], p. 170). Let  $F$  be a real vector bundle on  $N$  which is indecomposable as a real vector bundle. For the classification of all real vector bundles on  $N$ , see [1]. We recall that a line bundle on  $N$  is real if and only if its degree is odd. Hence we immediately get that either  $F$  is a line bundle of even degree or it is a rank two vector bundle which over  $\mathbb{C}$  is isomorphic to the direct sum of two line bundles with the same odd degree. Hence any such  $F$  is stable over  $\mathbb{R}$ . For any odd integer  $a$  let  $A(a)$  denote the rank two indecomposable real vector bundle on  $N$  with degree  $2a$ . By [2], Theorem 2, and Remark 3 we immediately get the following result.

**Theorem 1.** *Fix positive integers  $n, a, k$  such that  $n$  is even and  $n < k \leq \lfloor 3n/2 \rfloor$ . Set  $E := A(a)^{\oplus n/2}$ . Let  $V \subset H^0(N, E)$  be a general linear subspace such that  $\dim(V) = k$ . Then  $(E, V)$  is  $\alpha$ -stable over  $\mathbb{R}$  and over  $\mathbb{C}$  for all real  $\alpha > 0$ .*

Now we study the case  $g = 1$ . As in the case  $g = 0$  we need to distinguish between the case  $X(\mathbb{R}) \neq \emptyset$  (the easy case) and the case  $X(\mathbb{R}) = \emptyset$  (the “strange” case).

**Remark 4.** Let  $Y$  be a smooth and geometrically connected projective curve defined over  $\mathbb{R}$  and  $A, B$  vector bundles on  $X$  defined over  $\mathbb{R}$ . Every real extension of  $A$  by  $B$  is a vector bundle defined over  $\mathbb{R}$ . Since  $H^1(Y(\mathbb{C}), \text{Hom}(B_{\mathbb{C}}, A_{\mathbb{C}})) = H^1(Y, \text{Hom}(B, A)) \otimes_{\mathbb{R}} \mathbb{C}$ ,  $H^1(Y, \text{Hom}(B, A))$  is Zariski dense in the affine variety  $H^1(Y(\mathbb{C}), \text{Hom}(B_{\mathbb{C}}, A_{\mathbb{C}}))$ . Hence if a general extension of  $A_{\mathbb{C}}$  by  $B_{\mathbb{C}}$  is indecomposable (resp. stable, resp. polystable, resp. semistable), then a general real extension of  $A$  by  $B$  is a vector bundle defined over  $\mathbb{R}$  which is geometrically indecomposable (resp. stable over  $\mathbb{C}$  and hence over  $\mathbb{R}$ , resp. polystable over  $\mathbb{C}$  and hence over  $\mathbb{R}$ , resp. semistable over  $\mathbb{C}$  and over  $\mathbb{R}$ ).

**Remark 5.** Let  $X$  be a smooth and geometrically connected elliptic curve  $X$  defined over  $\mathbb{R}$  such that  $X(\mathbb{R}) \neq \emptyset$ . Hence  $X(\mathbb{R})$  has one or two connected components, each of them diffeomorphic to  $S^1$ . Hence  $X(\mathbb{R})$  is infinite. By Remark 4 we see that for all integers  $n > 0$  and  $d$  there are infinitely many pairwise non-isomorphic (not even over  $\mathbb{C}$ ) polystable vector bundles with rank  $n$  and degree  $d$  and defined over  $\mathbb{R}$ .

By Remarks 2, 4 and 5 we may go through the proofs in [9], §5, and get the following result.

**Theorem 2.** *Let  $X$  be a smooth and geometrically connected elliptic curve  $X$  defined over  $\mathbb{R}$  such that  $X(\mathbb{R}) \neq \emptyset$ . Take  $\alpha \in \mathbb{R}$  and integers  $n > 0$ ,  $d \geq k > 0$  such that  $d > n$  and either  $d \neq k$  or  $(k, d) = 1$ . There are a rank  $n$  vector bundle  $E$  on  $X$  defined over  $\mathbb{R}$  with  $\text{rank}(E) = n$  and  $\text{deg}(E) = d$  and a  $k$ -dimensional linear subspace  $V$  of  $H^0(X, E)$  such that the coherent system  $(E, V)$  is stable over  $\mathbb{R}$  if and only if the same is true with  $(E, V)$  stable over  $\mathbb{C}$  if and only if one of the following conditions is satisfied:*

- (i)  $0 < k < n$  and  $0 < \alpha < d/(n - k)$ ;
- (ii)  $k \geq n$  and  $\alpha > 0$ .

Furthermore, in all these cases we may take  $E$  polystable over  $\mathbb{C}$  and with pairwise non-isomorphic factors (over  $\mathbb{C}$ ).

**Remark 6.** Let  $X$  be a smooth and geometrically connected elliptic curve  $X$  defined over  $\mathbb{R}$  such that  $X(\mathbb{R}) = \emptyset$ . Such curves do exist: take the normalization of the projective closure of the real affine curve  $y^2 = -(x^2 + a)(x^2 + b)$ ,  $a, b$  real and  $b > a > 0$ . By Riemann-Roch every line bundle of positive degree has a non-identically zero global section. Hence there is no odd degree line bundle (and hence no odd degree vector bundle) on  $X$  defined over  $\mathbb{R}$ . Let  $\sigma : X(\mathbb{C}) \rightarrow X(\mathbb{C})$  be the complex conjugation. Fix  $P \in X(\mathbb{C})$ . Since  $\sigma$  has no fixed point,  $P + \sigma(P)$  is a reduced degree two effective divisor on  $X$  defined over  $\mathbb{R}$ . Taking instead of  $P$  infinitely many distinct points we see that for every even integer  $d$  there are infinitely many isomorphism classes of degree  $d$  line bundles on  $X$  defined over  $\mathbb{R}$ .  $X(\mathbb{C})$  is infinite. By Remark 4 we see that for all integers  $n > 0$  and  $d$  such that  $d$  is even there are infinitely many pairwise non-isomorphic (not even over  $\mathbb{C}$ ) polystable vector bundles with rank  $n$  and degree  $d$  and defined over  $\mathbb{R}$ .

Using Remark 6 instead of Remark 5 we also get the following result: By the complex case considered in [9] and the non-existence of odd degree real line bundles done in Remark 6 we also get that the result is sharp.

**Theorem 3.** *Let  $X$  be a smooth and geometrically connected elliptic curve  $X$  defined over  $\mathbb{R}$  such that  $X(\mathbb{R}) = \emptyset$ . Take  $\alpha \in \mathbb{R}$  and integers  $n > 0$ ,  $d \geq k > 0$  such that  $d > n$ ,  $d$  is even, and either  $d \neq k$  or  $(k, d) = 1$ . There are a rank  $n$  vector bundle on  $X$  defined over  $\mathbb{R}$  with  $\text{rank}(E) = n$  and  $\text{deg}(E) = d$  and a  $k$ -dimensional linear subspace  $V$  of  $H^0(X, E)$  such that the coherent system  $(E, V)$  is stable over  $\mathbb{R}$  if and only if the same is true with  $(E, V)$  stable over  $\mathbb{C}$  if and only if one of the following conditions is satisfied:*

- (i)  $0 < k < n$  and  $0 < \alpha < d/(n - k)$ ;

(ii)  $k \geq n$  and  $\alpha > 0$ .

Furthermore, in all these cases we may take  $E$  polystable over  $\mathbb{C}$  and with pairwise non-isomorphic factors (over  $\mathbb{C}$ ).

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